Equivalent of Form PTO/SB/08A (10-07)
Approved for use through 10/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	Substitute for form 1449/PTO				Complete if Known		
TUIDE	V CI II	DI E	MENTAL		Application Number	10/017,435	
					Filing Date	December 14, 2001	
	INFORMATION DISCLOSURE				First Named Inventor	Mark PHILLIPS	
· ·			APPLI		Art Unit	2626	
	(Use as	many she	ets as necess	ary)	Examiner Name	James S. Wozniak	
Sheet	1	of	2		Attorney Docket Number	2222.0820003	

			U.S. PATENT DO	CUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.	Number-Kind Code ^{2 (If Karwn)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
7J.W.7	USI	4,653,100	03-24-1987	Barnett et al.		
	US2	4,716,583	12-29-1987	Groner et al.		
	US3	4,974,254	11-27-1990	Perine et al.		
	US4	5,001,745	03-01-1991	Pollock	· · · · · · · · · · · · · · · · · · ·	
	US5	5,136,634	08-04-1992	Rae et al.		
	US6	5,351,276	09-27-1994	Doll, Jr. et al.		
	US7	5,367,454	11-22-1994	Kawamoto et al.		
	US8	5,500,920	03-19-1996	Kupiec		
	US9	5,559,927	09-24-1996	Clynes		
	US10	5,614,940	03-25-1997	Cobbley et al.		
	US11	5,636,325	06-03-1997	Farrett		
	US12	5,727,950	03-17-1998	Cook et al.	,	
	US13	5,774,859	06-30-1998	Houser et al.		
	US14	5,860,064	01-12-1999	Henton		
	US15	5,873,057	02-16-1999	Eves et al.		
	US16	5,546,584	08-13-1996	Lundin et al.		
	US17	5,608,786	03-04-1997	Gordon	9	
\/	US18	5,890,123	03-30-1999	Brown et al.		
V	US19	5,987,415	11-16-1999	Breese et al.		
/J.W./	US20	6,017,219	01-25-2000	Adams et al.		

		Fo	REIGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.'	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
		Country Code' Number' Kind Code' (if known)			Relevant Passages or Relevant Figures Appear	T _e
/J.W./	FP1	EP 0 697780 A2	02-21-1996	Martin et al.		
N/	FP2	WO 96/11542 A1	04-18-1996	Miner et al.		
V	FP3	WO 97/33416 A1	09-12-1997	Taskett		
LIW I	FP4	WO 02/93554 A1	11-21-2002	Phillips et al.		
	FP5					
	FP6					
	FP7					
	FP8					
	FP9					

	, , , , , , , , , , , , , , , , , , , 	· · · · · · · · · · · · · · · · · · ·	
Examiner		Date	
Signature	/James Wozniak/	Considered	01/09/2008
Signature		Considered	0 110012000

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Equivalent of Form PTO/SB/08A (10-07)
Approved for use through 10/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form	1449/PT	0 ,	Complete if Known		
TUIDI	2 6116	ם ופנ	MENTAL	Application Number	10/017,435	
	THIRD SUPPLEMENTAL			Filing Date	December 14, 2001	
INFORMATION DISCLOSURE		First Named Inventor	Mark PHILLIPS			
STAT			APPLICANT	Art Unit	2626	
	(Use as	many sh	eets as necessary)	Examiner Name	James S. Wozniak	
Sheet	2	of	2	Attorney Docket Number	2222.0820003	

			U.S. PATENT DO	CUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
/J.W./	US21	6,061,651	05-09-2000	Nguyen		
	US22	6,282,268 B1	08-28-2001	Hughes et al.		
	US23	6,282,511 B1	08-28-2001	Mayer		
	US24	6,314,402 B1	11-06-2001	Monaco et al.		
	US25	6,366,650 B1	04-02-2002	Rhie et al.		
	US26	6,418,216 B1	07-09-2002	Harrison et al.		
	US27	6,434,520 B1	08-13-2002	Kanevsky et al.		
	US28	6,965,863 B1	11-15-2005	Zuberec et al.		
	US29	2002/0072905 A1	06-13-2002	White et al.		
	US30	2002/0072918 A1	06-13-2002	White et al.		
1/	US31	2005/0261907 A1	11-24-2005	Smolenski et al.		
V	US32	2006/0287854 A1	12-21-2006	Smolenski et al.		
7J.W./	US33	2006/0293897 A1	12-28-2006	White et al.		
	US34					
	US35					
	US36					
	US37					
	US38					
	US39					
	US40					

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
	FP10					
	FP11					
	FP12					
	FP13					
	FP14					Π
	FP15					
	FP16					
	FP17					
	FP18					

Examiner Signature	/James Wozniak/	Date Considered	01/09/2008

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if Explish language Translation is attached.

English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Equivalent of Form PTO/SB/08B (04-07) Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449	РТО	Complete if Known		
TUIDD CUDDI	CRACAITAI	Application Number	10/017,435	
THIRD SUPPL		Filing Date	December 14, 2001	
	DISCLOSURE	First Named Inventor	Mark PHILLIPS	
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	2626	
		Examiner Name	Wozniak, James S.	
Sheet I	of 3	Attorney Docket Number	2222.0820003	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
/J.W./	NPL1	Chin, John P., "Personality Trait Attributions to Voice Mail User Interfaces", Proceedings of the 1996 Conference on Human Factors in Computing Systems, CHI 96, Online! Apr. 13-18, 1996, pp. 248-249, XP002113878 Vancouver, BC, CA; retrieved from the Internet on 1999-09-96.	
/J.W./	NPL2	Method for Appropriately Interfacing to User Characteristics in a Voice Interface System, IBM Technical Disclosure Bulletin, vol. 37, No. 3, pp. 307-308, XP000441484, New York, Mar. 1994.	
/J.W./	NPL3	Database Inspec 'Online' Institute of Electrical Engineers, Stevenage, GB, Trainer et al.: "The inclusion of personality trait based adaptive interfaces into computer based learning and training environments," Database accession No. 5193879 XP992113879, Abstract and Proceedings of the Thirty-First International Matador Conference, Apr. 20-21, 1995, pp. 195-200, Manchester, UKISBN: 0-333-64086-1.	
/J.W./	NPL4	Makoto, "TNG PhoneShell (part 2). A proposal and an implementation of internet access method with telephones and facsimiles," Joho Shori Gakkai Kenkyu Hokoku, May 1995, Vol 95, No 115, pages 7-12.	
/J.W./	NPL5	PwWebSpeak Overview [online]. The Productivity Works, 1996-09-04, [retrieved on 1997-04-15]. Retrieved on the Internet <url: http:="" pwwwovw.htm<.*<="" td="" www.prodworks.com=""><td></td></url:>	
/J.W./	NPL6	"New Product Makes the Internet World Wide Web Usable by the Visually Impaired", pwWebSpeak Press Release, February 12, 1996.	
/J.W./	NPL7	Hakkinen et al., "pwWebSpeak: User Interface Design of an Accessible Web Browser".	
/J.W./	NPL8	"'WebSpeak' opens cyberspace to visually impaired," The Times, Trenton, NJ, 3 pages (Feb. 12, 1996). cited by other .	
/J.W./	NPL9	Christodoulakis et al. "The Multimedia Object Presentation Manager of MINOS: A symmetric approach," SIGMOD, Vol. 15, No. 2, pgs. 295-310, June 1986.	,
/J.W./	NPL10	Zue, "Navigating the Information Superhighway Using Spoken Language Interfaces", IEEE Expert, October 1995, pgs. 39-43.	

Examiner Signature	/James Wozniak/	Date Considered	01/09/2008

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Equivalent of Form PTO/SB/08B (04-07) Approved for use through 09/30/2007, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Com	Complete if Known		
THIRD SUPPLEMENTAL			TAI	Application Number	10/017,435		
				Filing Date	December 14, 2001		
			LOSURE	First Named Inventor	Mark PHILLIPS		
			PLICANT	Art Unit	2626		
(Use as many sheets as necessary)			s necessary)	Examiner Name	Wozniak, James S.		
Sheet	2	of	3	Attorney Docket Number	2222.0820003		

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published			
/J.W./	NPLII	Caldwell et al., "Project Echo - Telephonic Browser for the WWW," http://www.cc.gatech.edu/people/home/tgay/echo.html 4/15/97.			
/J.W./	NPL12	James, "Presenting HTML Structure in Audio: User Satisfaction with Audio Hypertext," http://www-pcd.stanford.edu/~fjames/reports/pilor-tr/techrep-pilot.html 4/14/97.			
/J.W./	NPL13	James, "AHA: Audio HTML Access" http://www-pcd.stanford.edu/~fjames/aha/www6/PAPER296.html 4/14/97.			
/J.W./	NPL14	Novick, et al., "A multimodal browser for the World-Wide Web".			
/J.W./	NPL15	House, "Spoken-language Access to Multimedia (SLAM)," Master's Thesis, Oregon Graduate Institute.			
/J.W./	NPL16	Gabbe et al, "Towards Intelligent Recognition of Multimedia Episodes in Real-Time Applications," Proceedings of ACM Multimedia, 1994, pp. 227-236.			
/J.W./	NPL17	Hauptmann et al, "Story Segmentation and Detection of Commercials in Broadcast News Video," ADL-98 Advances in Digital Libraries, Santa Barbara, CA, April 22-24, 1998.			
/J.W./	NPL18	Kemp et al, "Strategies for Automatic Segmentation of Audio Data," in Proc.ICASSP, Istanbul, Turkey, 2000, pp. III: 1423-1426.			
/J.W./	NPL19	Groner, "The telephonethe ultimate terminal", Telphony, pp. 34-40, Jun. 1984.			
/J.W./	NPL20	Arita et al., "The Voice Browseran Archetype-Based Dialog Model", NEC Res & Develop., vol. 36 No. 4. pp. 554-561, Oct. 1995.			

Examiner	T	Date	04/00/2000	
Signature	/James Wozniak/	Considered	01/09/2008	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Equivalent of Form PTO/SB/08B (04-07)
Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for for	m 1449/PT	·o		Complete if Known		
THIRD SU	ומטו בו	RAE NI	TAI	Application Number	10/017,435	
				Filing Date	December 14, 2001	
INFORMA				First Named Inventor	Mark PHILLIPS	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	2626	
				Examiner Name	Wozniak, James S.	
Sheet	3	of	3	Attorney Docket Number	2222.0820003	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	Т
/J.W./	NPL21	Hemphill et al., "(Surfing the Web by Voice", ACM 0-89791-751-0-95/11 pp. 215-222, Nov. 1995.	
/J.W./	NPL22	WebSpeak Browser Guides Blind on to Internet. The Sunday Times, Feb. 25, 1996, [retrieved on 1997-04-97]. Retrieved from the Internet <url: http:="" st960225.htm="" www.prodworks.com="">.*</url:>	
/J.W./	NPL23	Aguilar, R. Visually Impaired Get Talking Browser. News.com[online], 1996-02-12, [retrieved on 1997-02-12]. Retrieved from the Internet <url: 0,4,642,00.htm="" http:="" item="" news="" www.news.com="">.*</url:>	
/J.W./	NPL24	Dunlap, C. AT&T: Internet can talk, too. Computer Reseller News, Nov. 11, 1994, Iss. 607; p. 12 [retrieved on 200-07-06]. REtrieved from the Internet <url: -22.*<="" http:="" proquest.umi.com="" td=""><td></td></url:>	
/J.W./	NPL25	Davis P: "Examining Microsoft's Speech SDK", Dr. Dobb's Journal, July 1999, Miller Freeman, USA, vol. 24, no. 7, pages 86, 88-90, XP008005211, ISSN: 1044-789X	
/J.W./	NPL26	International Search Report, dated August 13, 2002, for Patent Application No. PCT/US2002/014994, 2 pages.	
/J.W./	NPL27	Andrew G. Smolenski et al., Appl. No. 09/855,004, filed May 14, 2001, entitled, "Voice integration platform".	
/J.W./	NPL28	Andrew G. Smolenski et al., Appl. No. 09/858,281, filed May 15, 2001, entitled, "System and Method for Generating a Specific Voice User Interface"	
/J.W./	NPL29	Reeves, B. and Nass, C., The Media Equation: How People Treat Computers, Television, and New Media Like Real People and Places, pp. 89-108, ISBN No. 1-57586-052-X, CSLI Publications (1996). cited by other.	
	NPL30		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of Information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.